PATENT Docket No. 521102000100

CERTIFICATE OF HAND DELIVERY

The reby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on December 4, 2002.

Nellus afector Melissa Garton

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Victor E. ACUNA

Serial No.: 09/758,356

Filing Date: January 12, 2001

For: DUAL SIGNALING CHANNEL

COMMUNICATION SYSTEM AND

METHOD

Examiner: Nghi H. LY

Group Art Unit: 2682

RECEIVED

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Technology Center 2600

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 & 1.98

Commissioner for Patents Washington, D.C. 20231

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Pursuant to 37 CFR 1.97 and 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Supplemental Information Disclosure Statement is submitted after mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. A Certification under 37 CFR 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.

I hereby certify that no item of information was cited in a communication from a foreign patent office in a counterpart foreign application or, to the best of my knowledge after making a reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 CFR 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing <u>521102000100</u>. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: December 4, 2002

Respectfully submitted,

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Sheet 1 of 1 Application Number 09/758,356 Docket Number 521102000100 Form PTO-1449 **Applicant** INFORMATION DISCLOSURE CITATION Victor E. ACUNA IN AN APPLICATION Group Art Unit 2682 Filing Date January 12, 2001 (Use several sheets if necessary) Mailing Date December 4, 2002 U.S. PATENT DOCUMENTS Filing Date If Class Subclass Name Document No. Ref. Date Appropriate **Initials** No. 6/6/1995 Pepe et al. 4/21/1998 5,742,668 1. 4/23/1997 Fortman et al. 5,987,100 2. 11/16/1999 12/28/1998 Paarsmarkt et al. 6,118,856 9/12/2000 3. 12/1/1997 Bosco 6,335,963 4. 1/1/2002 2/13/1998 Miller et al. 6,421,707 5. 7/16/2002 DEC 0 6 2002 2/29/2000 Gottlieb 6. 9/3/2002 6,446,118 Technology Center 2600 FOREIGN PATENT DOCUMENTS Class Subclass Translation Document No. Country Examiner Ref. Date YES NO **Initials** No. (including author, title, Date, Pertinent Pages, Etc.) OTHER DOCUMENTS Title Examiner Ref. **Initials** No. DATE CONSIDERED: **EXAMINER:** EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

PTO/SB/17 (09-00) pproved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL **FOR FY 2002**

Complete if Known Serial No. 09/758.356 January 12, 2001 Filing Date Victor E. ACUNA First Named Inventor Nghi H. LY **Examiner Name**

Patent fees are subject to annual revision.

Group Art Unit DEC 0 6 2002

Date

December 4, 2002

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TOTAL AMOUNT OF PAYMENT Attorney Docket No. FEE CALCULATION (continued) Conter 2600 METHOD OF PAYMENT The Commissioner is hereby authorized to charge indicated 3. ADDITIONAL FEES 1. 🔀 fees and credit any overpayments to: Entity Entity Small Large Deposit 03-1952 Fee Fee Fee Fee Account Fee Paid Code (\$) Code (\$) **Fee Description** Number Deposit Morrison & Foerster LLP Account 130 205 65 Surcharge - late filing fee or oath 105 Name Surcharge - tate provisional filing 50 227 25 127 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 X fee or cover sheet 139 130 139 130 Non-English specification Applicant claims small entity status. See 37 CFR 1.27 For filing a request for ex parte 147 2,520 147 2.520 2. Payment Enclosed: reexamination Requesting publication of SIR prior ☐ Check ☐ Credit Card ☐ Money Order 920 □ Other 112 920* 112 to Examiner action Requesting publication of SIR after 1.840 113 1,8401 113 **FEE CALCULATION** Examiner action Extension for reply within first month 115 110 215 55 **BASIC FILING FEE** Extension for reply within second 116 390 216 195 month Entity Extension for reply within third Large Entity Small 117 890 217 445 Fee Description Fee (\$) Fee Code Fee (\$) Code Fee Paid Extension for reply within fourth 695 218 118 1,390 month Extension for reply within fifth month 228 945 128 1,890 Utility filing fee 101 710 201 355 155 Notice of Appeal 310 219 119 160 Design filing fee 106 320 206 Filing a brief in support of an appeal 155 245 Plant filing fee 120 310 220 490 207 107 135 Request for oral hearing 221 Reissue filing fee 121 270 355 208 108 710 Petition to institute a public use 1,510 138 1.510 Provisional filing fee 138 75 114 150 214 proceeding 110 240 55 Petition to revive - unavoidable 140 620 Petition to revive - unintentional 1.240 241 SUBTOTAL (1) (\$0.00) 141 Utility issue fee (or reissue) 242 620 142 1,240 **EXTRA CLAIM FEES** Fee fron 220 Design issue fee 243 143 440 300 Plant issue fee 600 244 144 - 20* **Total Claims** Petitions of the Commissioner Independent 130 122 130 122 - 3** Petitions related to provisional 50 123 50 123 Multiple Dependent applications Submission of Information \$180.00 126 180 126 180 Disclosure Stmt Recording each patent assignment Entity Entity per properties (times number of 581 40 581 40 Fee Description (\$) properties) Filing a submission after final 246 355 710 Claims in excess of 20 146 103 18 203 (37 CFR § 1.129(a)) For each additional invention to be 355 249 Independent claims in excess of 3 149 710 examined (37 CFR § 1.129(b)) 102 80 202 40 Request for Continued Examination 279 355 710 179 104 270 135 Multiple dependent claims, if not paid (RCE) Request for expedited examination "Reissue independent claims over 900 169 900 209 40 109 80 of a design application original patent "Reissue claims in excess of 20 and 110 over original patent Other fee (specify) SUBTOTAL (2) (\$0.00) (\$180.00) SUBTOTAL (3) *Reduced by Basic Filing Fee Paid ** or number previously paid, if greater; For reissues, see above. Complete (if applicable) SUBMITTED BY Registration No. (Attorney/Agent) Telephone (703)760-7762 43,148 Name (Print/Type) . 8 pivak Kevin

(\$180.00)

Signature